PCT

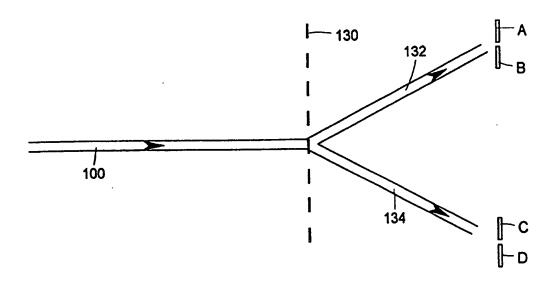
WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ :		(11) International Publication Number: WO 99/04466
H01S 3/133, 3/139, 3/13	A1	(43) International Publication Date: 28 January 1999 (28.01.99)
(21) International Application Number: PCT/GBS	98/021	1 (81) Designated States: DE, GB, US.
(22) International Filing Date: 17 July 1998 (1	17.07.9	Published With international search report.
(30) Priority Data: 9715022.1 18 July 1997 (18.07.97)	C	В
(71) Applicant (for all designated States except US): REN PLC [GB/GB]; New Mills, Wotton-under-Edge, tershire GL12 8JR (GB).	NISHA Glouce	<i>W</i> 5-
(72) Inventors; and (75) Inventors/Applicants (for US only): COPNER, Nige [GB/GB]; 23 Tudor Way, St John's, Worcester W (GB). CHANEY, Raymond, John [GB/GB]; L Cottage, New Brookend, Berkeley, Gloucestershi 9SF (GB).	/R2 50 abumi	H m
(74) Agent: JONES, Bruce, Graeme, Roland; Renishaw pl Dept., New Mills, Wotton-under-Edge, Glouce GL12 8JR (GB).	lc, Pate estersh	nt re

(54) Title: FREQUENCY STABILISED SEMICONDUCTOR LASER



(57) Abstract

The output frequency of a semiconductor laser beam is stabilised using a grating or another optical device which has an interaction with the beam whose parameters are sensitive to the beam frequency. The grating (130) generates positive and negative first order diffracted beams (132, 134), whose angle of diffraction is sensitive to the beam frequency, and is detected by split photodetectors AB, CD respectively. In the event of a change in beam frequency the outputs from the photodetectors AB, CD will vary to provide an error signal for stabilising the laser frequency. This error signal is insensitive to variations in angular alignment between the beam and the diffraction grating. This is because variations in frequency and variations in angular alignment cause different changes in the outputs of the photodetectors AB, CD. An error signal insensitive to frequency is generated in this example by combining the photodetector outputs in the following way (A+D)-(B+C).

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan .	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

1

FREQUENCY STABILISED SEMI-CONDUCTOR LASER

The present invention relates to a frequency stabilised semi-conductor laser, which may be used in an interferometer system, for example, to measure displacement.

5

10

15

20

25

30

35

It is known to stabilise the frequency of such a laser by directing the output beam of the laser onto an optical component which interacts with the beam. A parameter describing the interaction, and which is dependent upon the frequency of the incoming beam is monitored to provide an error signal that may be used to stabilise the diode frequency. One example of the use of this technique employs a Fabry-Perot interferometer, or etalon. intensity of the beam transmitted through the etalon depends upon the frequency of the incoming beam, and thus monitoring this parameter provides a suitable error signal. In a further example the laser beam is directed at an angle onto a reflective grating. The angle at which one of the resultant beams is reflected and diffracted from the grating is monitored (since this parameter is dependent upon the frequency of the incident beam) to provide an error signal.

A problem with such a method of stabilisation is that the parameters of interaction which are sensitive to frequency are also dependent, inter alia, upon the angular orientation of the optical device with respect to the incoming beam. A shift in relative angular displacement of the beam and device will therefore also alter the error signal, even if no shift in frequency has occurred. One aspect of the present invention seeks to overcome this problem by providing an apparatus for stabilising the output frequency of a semi-conductor laser comprising: an optical device situated in the laser beam path, and which interacts with the beam, wherein a parameter describing the interaction varies with (a) incident beam frequency, and

PCT/GB98/02141

2

(b) angle of incidence between the incident beam and the device; means for producing from the incident beam at least two non-parallel beams each of which is incident upon at least one optical detector, the outputs of the detectors being processed to produce an error signal for controlling operation of the laser in order to stabilise its output frequency, the error signal being sensitive to changes in frequency, and insensitive to changes in angle of incidence.

The optical device may, for example, be an etalon, a grating or an absorption cell, or any other device sensitive to frequency and incident angle.

WO 99/04466

5

15

20

25

30

35

Embodiments of the invention will now be described, by way of example, and with reference to the accompanying drawings in which:

Fig 1 is a schematic illustration of a frequency stabilised laser diode in accordance with the present invention; and

Figs 2a-d are graphs illustrating the various characteristics of the embodiment of Fig 1;

Fig 3 is a schematic illustration of a second embodiment of the present invention; and

Fig 4 is a schematic illustration of a third embodiment of the present invention; and

Fig 5 is a schematic illustration of a processing circuit used with the embodiments of Figs 3 and 4.

Referring now to Fig 1, a laser diode 10 emits a beam 12 of laser light, which is split into a pair 14,16 of mutually parallel beams by a periscope 18. The beams 14,16 are incident upon prism elements 20,22, arranged to have the same refractive effect as a crude two-dimensional concave lens i.e. the beams are divergent (prisms causing convergence may also be used). Upon passage through the prism elements 20,22 the beams 14,16, now mutually divergent, pass through an optical device in the form of an

3

etalon 30, and onto photodetectors 40,42.

5

10

15

20

25

30

35

The intensity of light incident upon each of the photodetectors 40,42 is summed, and the summed output is used (after normalisation to take account of fluctuations in the instantaneous intensity of the output beam) to control the drive current for the laser diode 10, thereby to stabilise its frequency.

Referring now to Fig 2A, the combined output of the photodetectors with variation in wavelength of the incoming beam is illustrated. Fig 2B shows that the greatest sensitivity to change in wavelength in the incoming beam occurs at wavelength \D; it is therefore advantageous to operate at this wavelength where the etalon and detector of the present invention are to be used to monitor wavelength. By contrast it can be seen from Fig 2C that the variation in the sum of transmitted light intensity with varying angle is zero at this value. The apparatus therefore exhibits maximum sensitivity to variation in wavelength simultaneously with minimum sensitivity to variation in This phenomenon manifests itself in the outputs of angle. the photodetectors. A variation in angle will result in an increase in the output of one of the detectors, and a corresponding decrease in output of the other. contrast, a variation in wavelength will cause simultaneous increases or decreases in the outputs of both detectors.

Referring now to Fig 3, in an alternative embodiment, the output beam 100 of the laser is incident upon an optical device in the form of a transmissive diffraction grating 130. Interaction of the beam 100 during passage through the grating 130 results in the generation of positive and negative first order diffracted beams 132,134. The grating thus interacts with the beam 130 in a frequency sensitive manner, and generates a pair of non-parallel beams 132,134 therefrom. The beams 132,134 are incident respectively upon split photodetectors AB,CD (which are preferably

5

10

15

35

4

reversed biased). A change in the frequency of the beam 100 will result in a change in the angle of diffraction of the beams 132,134 as a result of their passage through the grating 130, and this change will affect each of the beams equally, but in opposing directions. Thus, in the event of a change of frequency, the beams will undergo a change in diffraction angle such that the angle between them increases or decreases, or put another way, they become more or less divergent depending upon the direction in which the frequency of the incoming beam shifts. contrast, in the event of a change in orientation of the grating 130 with respect to the incoming beam 100, the beams 132,134 will undergo a change in direction of angular deflection with respect to the incoming beam 100, but in this instance, the change will cause both of the beams to deflect in the same direction. The difference between these two events is made manifest in the different outputs from the split photodetectors AB,CD. In the two different cases:

- 20 (i) in the event of a change in frequency which results (for example) in an increase in the diffraction angle of the beams 132,134, more light will fall on photodetector portions A and D, and less will fall on photodetector portions B and C;
- 25 (ii) in the event of a change in orientation of the grating 130, the photodetector outputs will be altered such that the amount of light incident on photodetector portions B and D increases whereas the amount of light incident upon photodetector portions A and C decreases 30 (for example).

It is thus possible to configure a processing system from these photodetector outputs such that changes in the outputs of the photodetectors AB,CD which represent a change in angle of the grating 130 with respect to the beam 100 are ignored, but changes which represent a change in

5

10

15

20

25

the frequency of the incoming beam are converted to an error signal which is used to stabilise the frequency of the semi-conductor laser.

5

Referring now to Fig 5, such a circuit includes four input channels 300A,B,C,D, connected respectively to the corresponding elements of the photodetectors AB,CD. Each of the inputs 300 passes through an amplifier 310, connected in parallel with a resistor 312 in order to convert the current signal from the photodetectors to a voltage signal. The amplified inputs of channels 300A and 300D are summed at a further amplifier 320; similarly, the amplified inputs of channels 300B and 300C are summed at an amplifier 330. The outputs of the amplifiers 320,330 are sent to a differential amplifier 340 which thus generates an output (A+D)-(B+C), to provide a requisite error signal.

A further embodiment is illustrated in Fig 4, in which an incoming laser beam 200 is split into two beams 202,204 by means of a prism 210. The beams 202,204 are incident upon a reflective diffraction grating 230, and subsequently upon split photodetectors AB,CD respectively. The outputs from the photodetectors AB,CD are processed in the same way as those for the embodiment of Fig 3 to produce the requisite error signal which is sensitive to changes in frequency of the light beam 200, but insensitive to changes in its angular orientation with respect to the grating 230.

6

CLAIMS

- 1. Apparatus for stabilising the output frequency of a beam of laser light generated by a semi-conductor laser comprising: an optical device situated in the beam path and which interacts with the beam, wherein a parameter 5 describing the interaction varies with (a) incident beam frequency, and (b) angle of incidence between beam and the device; means for producing from the beam at least two nonparallel beams each of which is incident upon at least one 10 optical detector, the outputs of the detectors being processed to produce an error signal for controlling operation of the laser in order to stabilise its output frequency, the error signal being sensitive to changes in frequency, and insensitive to changes in angle of incidence 15 between the laser beam and the device.
 - 2. Apparatus according to claim 1 wherein the optical device and the means for producing non-parallel beams are distinct.
- Apparatus according to claim 1 or claim 2 wherein the
 optical device is a diffraction grating.
 - 4. Apparatus according to claim 1 or claim 2 wherein the optical device is an etalon.
 - 5. Apparatus according to claim 3 wherein the diffraction grating is transmissive.
- 25 6. Apparatus according to claim 4 wherein the means for generating non-parallel beams is a prism.
 - 7. Apparatus according to any one of the preceding claims wherein the non-parallel beams are mutually divergent.
- 8. Apparatus according to any one of the preceding claims30 wherein each of the non-parallel beams are incident upon a

7

pair of photodetectors.

5

9. A method of stabilising the output frequency of a semi-conductor laser beam comprising the steps of:

directing the beam onto an optical device which interacts with the beam, a parameter describing the interaction being dependent upon the frequency of the beam;

generating at least a pair of non-parallel beams;
directing at least two of the non-parallel beams onto
a photodetector;

processing outputs from the photodetector to generate an error signal for stabilising the laser output frequency, the error signal varying in dependence upon the frequency of the laser beam, but being independent of the relative orientation of the beam and the device.

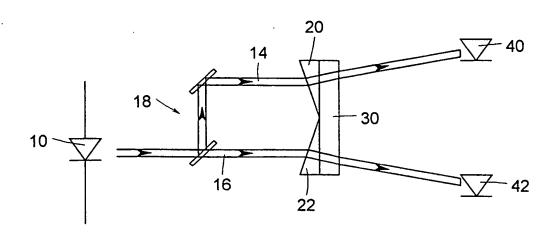
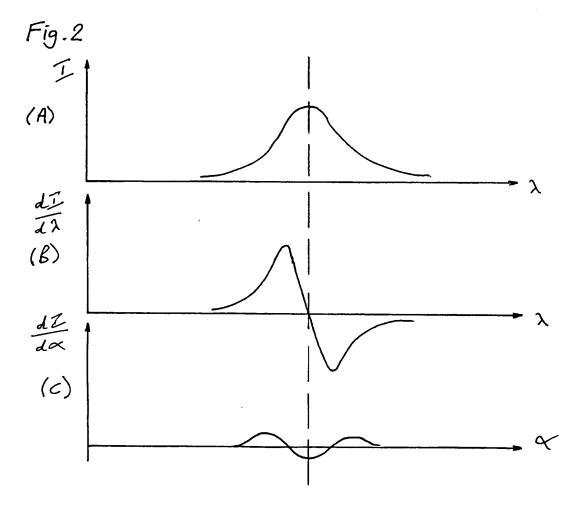


Fig 1



SUBSTITUTE SHEET (RULE 26)

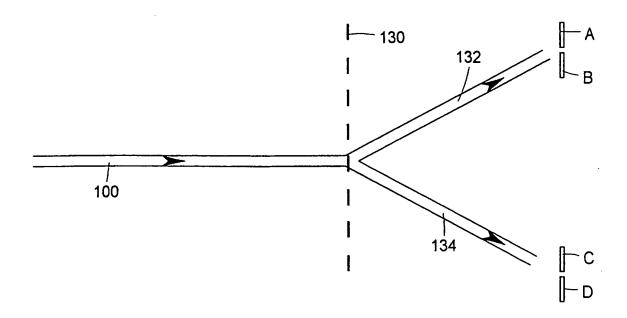


Fig 3

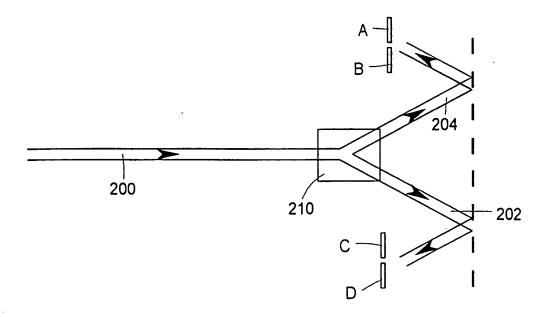


Fig 4

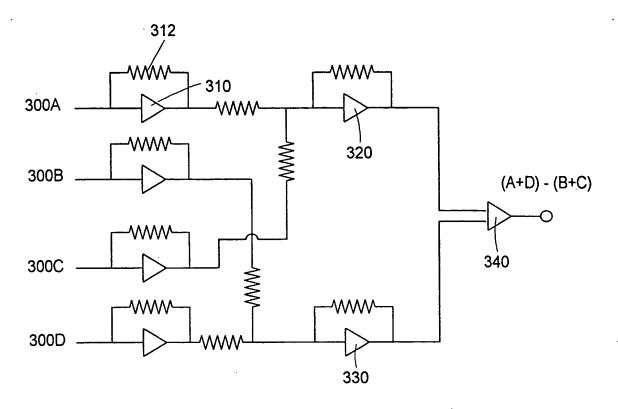


Fig 5

INTERNATIONAL SEARCH REPORT

International App. .on No PCT/GB 98/02141

		101	/ 40 90/02141
A. CLASSII IPC 6	FICATION OF SUBJECT MATTER H01S3/133 H01S3/139 H01S3/	/13	
According to	o International Patent Classification(IPC) or to both national clas	sification and IPC	
	SEARCHED		
Minimum do IPC 6	ocumentation searched (classification system followed by classifi H01S	cation symbols)	
Documental	tion searched other than minimum documentation to the extent the	nat such documents are included in t	he fields searched
Electronic d	data base consulted during the international search (name of dat	a base and, where practical, search	terms used)
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the	e relevant passages	Relevant to claim No.
A	EP 0 516 318 A (PIONEER ELECTR 2 December 1992 see figures 2,7-9	ONIC CORP)	1,9
A	DE 44 29 748 A (FAGOR S COOP L 16 March 1995 see figure 4	TDA)	1,9
A	PATENT ABSTRACTS OF JAPAN vol. 012, no. 254 (E-634), 16 & JP 63 041093 A (YOKOGAWA EL CORP), 22 February 1988 see abstract	July 1988 ECTRIC	1,9
A	EP 0 091 068 A (ZEISS CARL FA) 12 October 1983 see figure 1	•	1,9
		-/	
X Fur	ther documents are listed in the continuation of box C.	X Patent family membe	ers are listed in annex.
° Special c	ategories of cited documents :	"T" later de sum est sublished	attention international filing date
consi	nent defining the general state of the art which is not idered to be of particular relevançe r document but published on or after the international	or priority date and not in cited to understand the p invention	after the international filing date n conflict with the application but principle or theory underlying the
which	date nent which may throw doubts on priority claim(s) or h is cited to establish the publication date of another on or other special reason (as specified)	cannot be considered no involve an inventive step	levance; the claimed invention ovel or cannot be considered to o when the document is taken alone levance; the claimed invention
"O" docum other "P" docum	nent referring to an oral disclosure, use, exhibition or r means nent published prior to the international filing date but	document is combined w ments, such combination in the art.	involve an inventive step when the with one or more other such docu- n being obvious to a person skilled
	than the priority date claimed actual completion of theinternational search	"&" document member of the Date of mailing of the inte	
	30 October 1998	09/11/1998	·
Name and	f mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk	Authorized officer	
	Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Claessen,	L

1

INTERNATIONAL SEARCH REPORT

International App. ion No PCT/GB 98/02141

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT	Tourse
Category Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
PATENT ABSTRACTS OF JAPAN vol. 007, no. 200 (E-196), 3 September 1983 & JP 58 097882 A (NIPPON DENKI KK), 10 June 1983 see abstract	1,9
EP 0 818 859 A (NORTHERN TELECOM LTD) 14 January 1998 see the whole document	1,9
·	
·	

1

INTERNATIONAL SEARCH REPORT

Information on patent family members

PCT/GB 98/02141

Patent document cited in search report		Publication date		Patent family member(s)	Publication date	
EP	0516318	Α	02-12-1992	JP US	4349678 A 5287367 A	04-12-1992 15-02-1994
DE	4429748	Α	16-03-1995	ES	2079282 A	01-01-1996
EP	0091068	Α	12-10-1983	DE JP JP US	3212809 A 5002932 B 58184518 A 4547664 A	13-10-1983 13-01-1993 28-10-1983 15-10-1985
EP	0818859	Α	14-01-1998	CA JP	2209558 A 10079723 A	11-01-1998 24-03-1998